

# A novel multi-carrier waveform with high spectral efficiency: semi-orthogonal frequency division multiplexing

Fan Yang\*, Xin Wang\*\*

*\*Fujitsu Research and Development Center Co., Ltd, Beijing, China*

[yangfan@cn.fujitsu.com](mailto:yangfan@cn.fujitsu.com), [wangxin@cn.fujitsu.com](mailto:wangxin@cn.fujitsu.com)

**Abstract**—In this paper, semi-orthogonal frequency multiplexing (SOFDM) is proposed, which has doubled spectral efficiency over OFDM by halving the subcarrier spacing. SOFDM is orthogonal in real field but non-orthogonal in complex field. To cancel the inter carrier interference caused by non-orthogonality, linear equalization algorithms are introduced based on inter carrier cross-correlation matrix. However SOFDM still suffer a performance loss due to the white noise power amplification effect of linear equalization. To further improve the performance, by use of the special property of inter carrier cross-correlation matrix, complementary SOFDM is provided, which is orthogonal in both real and complex field. It has identical spectral efficiency with OFDM but obtain a diversity gain in fading channel. And a HARQ-style flexible complementary SOFDM is introduced, which can obtain not only performance gain but also spectral efficiency gain.

**Keyword**—semi-orthogonal frequency division multiplexing; inter carrier cross-correlation; inter carrier inference; zero forcing; minimum mean square error



**Yang Fan** was born in 1979 in Hubei province in China. He acquired his PHD of signal and information processing at Beijing University of Post and Telecommunication in the city of Beijing (China) in 2007. He served in Fujitsu R&D Center Co., Ltd (FRDC). His major interests include 5G wireless communication, waveform, multiple access technology, channel coding.



**Wang Xin** was born in Tianjin, China in 1971. He received Ph.D. degree from Tianjin University, China, in 2005. He has been with Fujitsu R&D Center Co., Ltd (FRDC) since 2005. He is currently heading the group for 5G study in FRDC's Communication Lab. His recent research interests include wireless signal processing with emphasis on 5G new RAT and 3GPP standardization.