

# Uplink Spectral Efficiency for Non-Orthogonal Multiple Access in Rayleigh Fading

Pongsatorn Sedtheetorn, Tatcha Chulajata

Department of Electrical Engineering, Faculty of Engineering, Mahidol University  
25/25 Phuttamonthon 4 Road, Salaya, Nakornpathom, Thailand  
[pongsatorn.sed@mahidol.ac.th](mailto:pongsatorn.sed@mahidol.ac.th), [tatcha.chu@mahidol.ac.th](mailto:tatcha.chu@mahidol.ac.th)

**Abstract**— This paper introduces original analysis on the uplink spectral efficiency of non-orthogonal multiple access (NOMA) in Rayleigh fading environment. According to the accurate Gaussian-based evaluation, a closed-form expression of the spectral efficiency is proposed. Also, this work is extended to the practical case in which the number of active users is random. Validated by the simulation, the presented closed form benefits us to calculate the exact average of uplink NOMA spectral efficiency at different system parameters, such as signal-to-noise ratio, active probability, number of employed subcarriers.

**Keyword**— non orthogonal multiple access, uplink, spectral efficiency, future radio access, Rayleigh fading



Pongsatorn Sedtheetorn (M'03) received the B.Eng. and M.Eng. degrees from Chulalongkorn University, Thailand, in 1998 and 2001, and the Ph.D. degree from the University of Manchester, United Kingdom, in 2007. He is currently an Associate Professor with the Department of Electrical Engineering, Mahidol University, Thailand. His research interests are in the areas of wireless communications, information theory, as well as enterprise architecture.



Tatcha Chulajata (M'97) received the B.Eng. from Kasetsart University, Thailand, in 1992. He received the M.S and the Ph.D. degrees from Wichita State University, USA, in 1996 and 2003, respectively. He is currently a Senior Lecturer with the Department of Electrical Engineering, Mahidol University, Thailand. His research interests are in the areas of wireless communications, communication network, and enterprise architecture.